

A New Approach to
Euler Calculus for Continuous Integrands

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Gratitude

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But it behaves poorly under limits:

$$\lim s_n = \lim s'_n \text{ doesn't necessarily imply that } \lim \int s_n d\chi = \lim \int s'_n d\chi$$

The 2010 work of Baryshnikov & Ghrist

Baryshnikov-Ghrist studied this failure of convergence.

They considered the Euler integrals of two sequences of simple functions approaching a given continuous function α :

$$\int \alpha[d\chi] = \lim_n \frac{1}{n} \int [n\alpha] d\chi \quad \int \alpha[\mathbb{d}\chi] = \lim_n \frac{1}{n} \int [n\alpha] d\chi$$

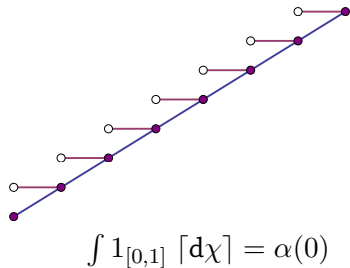
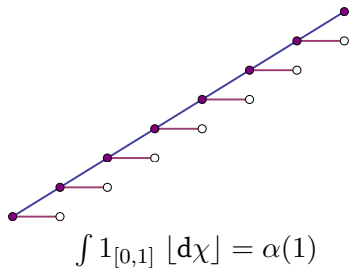
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Ex:



Although these integrals differ, they are in a sense dual.

A naive starting point

Lemma (Baryshnikov-Ghrist): If $\alpha : \Delta^i \rightarrow \mathbf{R}$ is affine then:

$$\int_{\text{int}(\Delta)} \alpha[d\chi] = (-1)^i \inf \alpha \quad \int_{\text{int}(\Delta)} \alpha[d\chi] = (-1)^i \sup \alpha$$

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where $\hat{\Delta}$ is the barycenter of Δ . At least then it would be *additive*.

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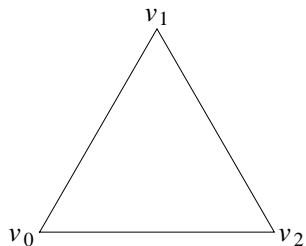
Tentative Definition: For X and $\alpha : X \rightarrow \mathbf{R}$ *simplicial*, let:

$$\int_X \alpha d\chi = \sum_{\Delta^i \in X} (-1)^i \alpha(\hat{\Delta})$$

Exploration of the integral's properties

It is *not* invariant under subdivision.

Ex:

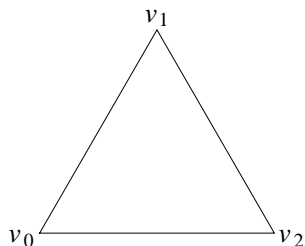


$$\int \alpha \, d\chi = \alpha(\hat{\Delta}) = \frac{1}{3} \sum \alpha(v_i)$$

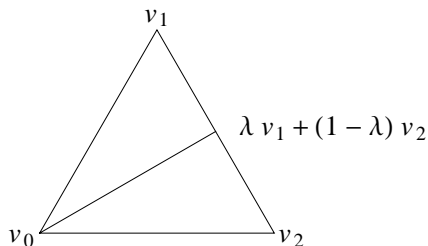
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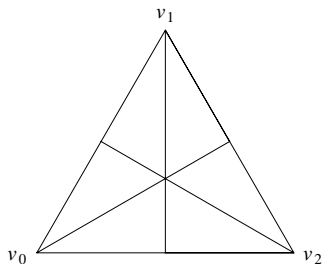
$$\int \alpha \, d\chi = \frac{1}{6} \alpha(v_0) + \left(\frac{1}{3} + \frac{1}{6} \lambda\right) \alpha(v_1) + \left(\frac{1}{2} - \frac{1}{6} \lambda\right) \alpha(v_2)$$

These integrals differ for any $0 \leq \lambda \leq 1$.

(We shall return to this example later.)

Exploration of the integral's properties, cont'd

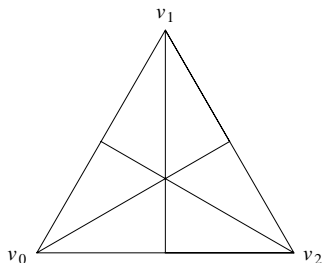
But if one carries out a full barycentric subdivision then, after considerable calculation, one recovers the original integral.



$$\int_{\Delta^{(1)}} \alpha^{(1)} d\chi = \alpha(\hat{\Delta}) = \int_{\Delta} \alpha d\chi$$

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$$\int_{\Delta^{(1)}} \alpha^{(1)} d\chi = \alpha(\hat{\Delta}) = \int_{\Delta} \alpha d\chi$$

Theorem: For any $n \geq 1$:

$$\int_X \alpha d\chi = \int_{X^{(n)}} \alpha^{(n)} d\chi$$

where $\alpha^{(n)} : X^{(n)} \rightarrow \mathbf{R}^{(n)}$ is the linear extension of α to the n th barycentric subdivision $X^{(n)}$ of X .

(This result appears in retrospect to have been a distraction though.)

Rewriting the sum

The integral may be rewritten:

$$\begin{aligned}\int_X \alpha \, d\chi &= \sum_{\Delta^i \in X} (-1)^i \alpha(\hat{\Delta}) \\ &= \sum_v \alpha(v) w(v)\end{aligned}$$

where v ranges over each vertex of X and where:

$$w(v) = \sum_i (-1)^i \frac{1}{i+1} \#\{i\text{-simplices containing } v\}$$

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We next interpret the number $w(v)$ geometrically.

Banchoff's 1967 work on curvature of embedded polyhedra

Let X be a simplicial complex *embedded in* \mathbf{R}^n .

Def: (Banchoff) The *curvature* at a vertex v of X is:

$$\kappa(v) = \sum_{\Delta^i \in X} (-1)^i \mathcal{E}(\Delta^i, v)$$

where the excess angle $\mathcal{E}(\Delta^i, v)$ at v of a simplex $\Delta^i \subset \mathbf{R}^i$ is:

$$\mathcal{E}(\Delta^i, v) = \frac{1}{\text{vol}(S^{i-1})} \int_{S^{i-1}} \left[\langle \xi, v \rangle \geq \langle \xi, x \rangle \text{ for all } x \text{ in } \Delta^i \right] d\xi$$

where ξ ranges over the unit sphere $S^{i-1} \subset \mathbf{R}^i$, and $[P] = \begin{cases} 1 & \text{if } P \\ 0 & \text{if } \neg P \end{cases}$

is the Iverson bracket.

Geometric interpretation of $w(v)$

Def: Given a simplicial complex X , let d_X be the intrinsic metric which makes each simplex flat and gives each 1-simplex length 1.

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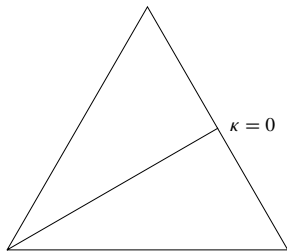
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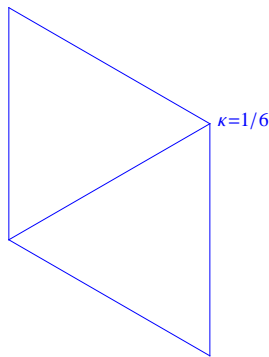
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Theorem: $w(v) = \kappa(v)$ if one gives X the metric d_X .

Ex: This explains why the integral isn't invariant under subdivision:



Should have integrated like this



but integrated like this instead.

Improved definition of integral

So the integral we're after *depends on the metric structure of the domain*—not just its topology.

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Correct Definition: For a metric simplicial complex X and a simplicial map $\alpha : X \rightarrow \mathbf{R}$, let:

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i.e. *Euler integration is integration with respect to curvature.*

This makes a lot of sense actually...

Chern's 1945 work on the Gauss-Bonnet theorem

Chern-Gauss-Bonnet Thm: For a compact Riemannian manifold M :

$$\int_M \text{Pf}(\Omega) = \chi(M)$$

That is, **curvature is infinitesimal Euler characteristic.**

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Simplicial Chern-Gauss-Bonnet Thm (Banchoff):

$$\sum_v \kappa(v) = \chi(X)$$

(Note that Banchoff's work applies to **singular** spaces.)

The importance of the boundary contribution

Chern-Gauss-Bonnet only applies to *compact* spaces,
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Ex: An open interval $X = (0, 1)$ has curvature 0 yet has $\chi(X) = -1$.

But if we write:

$$\int 1_{(0,1)} d\chi = \int (1_{[0,1]} - 1_{\{0\}} - 1_{\{1\}}) d\chi$$

then we can use curvature integration to correctly compute:

$$= (1/2 + 1/2) - 1 - 1 = -1$$

Curvature is as general as Euler characteristic
—*i.e. it can be defined within any “O-minimal theory”.*

Bröcker-Kuppe's 2000 work on curvature of stratified spaces

Bröcker-Kuppe used Goresky-MacPherson's work on stratified Morse theory to define *curvature for any "tame" stratified space*.

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$$(P, Q) = B(x, \delta) \cap \left(f^{-1}[f(x) - \epsilon, f(x) + \epsilon], f^{-1}[f(x) - \epsilon] \right)$$

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Remark: P is always a cone so $\chi(P, Q) = \chi(P) - \chi(Q) = 1 - \chi(Q)$.

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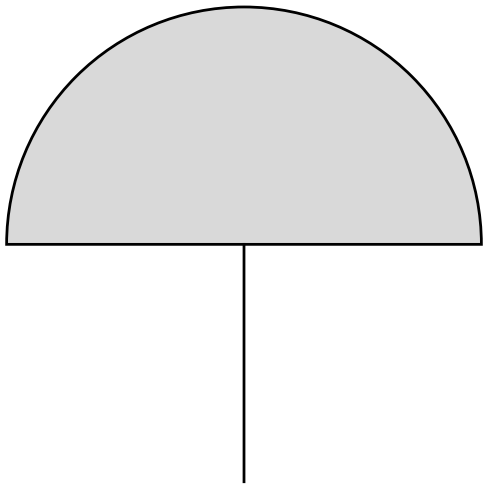
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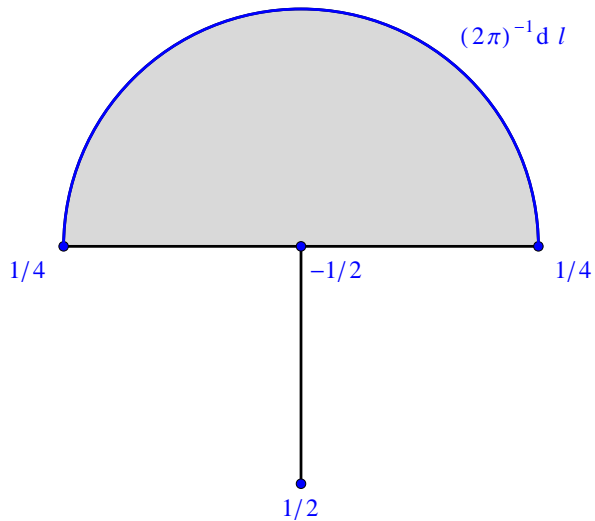
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Remark: If X is a simplicial complex then the curvature measure is concentrated at the vertices, where it agrees with Banchoff's $\kappa(v)$.

Example from Bröcker & Kuppe's 2000 paper



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Euler Integration for Stratified Spaces

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If X is compact then $\chi(X) = \kappa_X(X)$, that is:

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So we reach our:

Final Definition: For a compact tame stratified space $X \subset \mathbf{R}^N$ and a continuous function $\alpha : X \rightarrow \mathbf{R}$, let:

$$\int_X \alpha d\chi = \int_X \alpha d\kappa_X$$

where the right hand side is Lebesgue integration with respect to the Bröcker-Kuppe curvature measure κ_X .

Fubini theorem

The standard Fubini theorem therefore applies:

Fubini Thm: If $f: Y \times Z \rightarrow Y$ is the projection then $\kappa_{Y \times Z} \cong \kappa_Y \times \kappa_Z$ and:

$$\int_{Y \times Z} \alpha \, d\kappa_{Y \times Z} = \int_Y \left(\int_Z \alpha \, d\kappa_Z \right) d\kappa_Y$$

Functoriality

For simple functions, Euler integration extends to a functor:

$E : \text{spaces} \rightarrow \text{abelian groups}$

$$X \mapsto E(X) = \{\text{simple functions on } X\}$$

$X \xrightarrow{f} Y \mapsto$ group homomorphism $E(X) \xrightarrow{E(f)} E(Y)$ defined by:

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In other words, *integration over the fiber is functorial*.

(Aside: MacPherson's theory of Chern classes for singular varieties is a natural transformation $E \rightarrow H_*(-, \mathbf{Z})$.)

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$$\tilde{\mathbf{E}}(X) = \left\{ \sum_{\text{finite}} \alpha_i \mid \alpha_i : K_i \rightarrow \mathbf{R} \text{ continuous, } K_i \subset X \text{ compact} \right\}$$

Euler integration works well for these functions.

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Euler integration works well for these functions.

But there are problems defining a pushforward $\tilde{\mathbb{E}}(f) : \tilde{\mathbb{E}}(X) \rightarrow \tilde{\mathbb{E}}(Y)$.

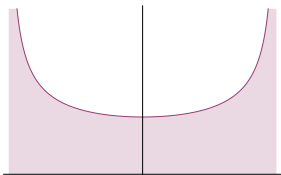
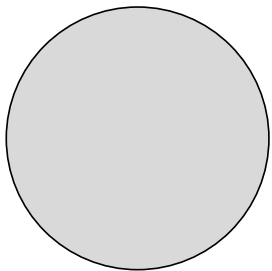
One could optimistically define:

$$\tilde{\mathbb{E}}(f)(\alpha) = \left[\frac{d(f_*(\alpha \cdot \kappa_X))}{d\kappa_Y} \right] \longleftarrow \text{the Radon-Nikodym derivative}$$

Functoriality would then follow from the chain rule.

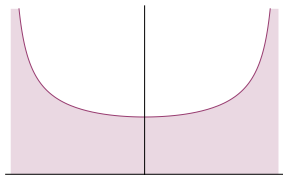
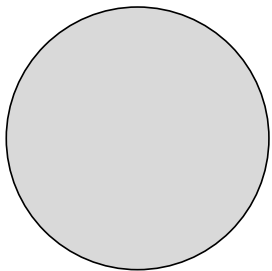
But this derivative generally doesn't exist.

Example of $f : X \rightarrow Y$ where the derivative $\left[\frac{df_*(\kappa_X)}{d\kappa_Y} \right]$ doesn't exist:



← Graph of $\left[\frac{df_*(\kappa_X)}{dx} \right] = \frac{1}{\pi\sqrt{1-x^2}}$

Example of $f : X \rightarrow Y$ where the derivative $\left[\frac{df_*(\kappa_X)}{d\kappa_Y} \right]$ doesn't exist:



Since κ_Y is concentrated at the two ends, the Lebesgue decomposition must look like:

$$f_*(\kappa_X) = \underbrace{f_*(\kappa_X)}_{=0}^{\parallel \kappa_Y} \kappa_Y + f_*(\kappa_X)^{\perp \kappa_Y}$$

← Graph of $\left[\frac{df_*(\kappa_X)}{dx} \right] = \frac{1}{\pi\sqrt{1-x^2}}$

Functoriality via measures

So to define a functor, need to consider not **functions** but **measures**:

$$\begin{aligned} X &\mapsto \tilde{\mathbb{E}}(X) = \{\text{signed measures on } X\} \\ X \xrightarrow{f} Y &\mapsto \tilde{\mathbb{E}}(f) : \tilde{\mathbb{E}}(X) \rightarrow \tilde{\mathbb{E}}(Y) \text{ defined by:} \\ &\tilde{\mathbb{E}}(f)(\mu) = f_*(\mu), \text{ the pushforward measure} \end{aligned}$$

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Remark: For each space X there is a homomorphism $E(X) \rightarrow \tilde{E}(X)$ but, as the preceding example shows, these do not fit into a natural transformation although pushforward to a point always commutes:

$$\begin{array}{ccc} E(X) & \longrightarrow & \tilde{E}(X) \\ \downarrow & & \downarrow \\ E(\text{pt}) & \xlongequal{\quad} & \tilde{E}(\text{pt}) \end{array}$$

A generalization of the Fubini theorem

By the earlier Fubini theorem, pushforward agrees with integration over the fiber for (metric) fiber bundles.

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“Theorem”: Under “fairly general conditions”:

$$f_*(\alpha \cdot \kappa_X) = \frac{\int_{f^{-1}(y)} \alpha \, d\kappa_{f^{-1}(y)}}{E(f)(1_X)} \cdot f_*(\kappa_X)$$

Summary

Interpolating between Baryshnikov-Ghrist's non-additive but dual:

$$\int_X \alpha [d\chi] \qquad \int_X \alpha [d\chi]$$

leads to an additive self-dual integral, and this integral is integration with respect to curvature:

$$\int_X \alpha d\kappa_X$$

This integral is as general as the Euler characteristic itself.

In order to extend this integral to a functor, one must rely on the pushforward of measures.

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